# EMPIRE, AUTHORITY, AND AUTONOMY IN ACHAEMENID ANATOLIA

The Achaemenid Persian Empire (550–330 BCE) was a vast and complex sociopolitical structure that encompassed much of modern-day Turkey, Syria, Jordan, Israel, Egypt, Iraq, Iran, and Afghanistan and included two dozen distinct peoples who spoke different languages, worshiped different deities, lived in different environments, and had widely differing social customs. This book offers a radically revised approach to understanding not only the Achaemenid Persian Empire but imperialism more generally. Drawing on a wide array of textual, visual, and archaeological material, Elspeth R. M. Dusinberre shows how the rulers of the empire constructed a system flexible enough to provide for the needs of different peoples within the confines of a single imperial authority and highlights the variability of their responses. This book examines the dynamic tensions between authority and autonomy across the empire, providing a valuable new means of considering imperial structure and development.

Elspeth R. M. Dusinberre is Associate Professor in the Classics Department at the University of Colorado Boulder. She has published articles in the American Journal of Archaeology, Ars Orientalis, Anatolian Studies, and the Annual of the American Schools of Oriental Research. She is the author of Aspects of Empire in Achaemenid Sardis and Gordion Seals and Sealings: Individuals and Society.

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# EMPIRE, AUTHORITY, AND AUTONOMY IN ACHAEMENID ANATOLIA

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# PREFACE

#### WHY BOTHER?

"Why should people care about this book?" a scientist friend recently asked me. The answers to this are not limited to the simple "because it's so interesting!" – which was my first response. The subject matter is interesting: how imperialism worked in the Achaemenid Empire; how people were folded into the empire; how that affected their lives and the ways they defined themselves; what differences and similarities are observed across the Anatolian peninsula and what explains those trends. Some of the artifacts recovered are exceptionally beautiful, a feature that conveys little information to a scholar but makes them a great pleasure to look at – this surely had an impact on their use and resonance in antiquity, even as it does today. Beyond this, though, I think it is important to understand how this ancient empire functioned – and not only because it can help us understand other ancient empires and the ways they were similar to or different from the Achaemenid. The book also matters because imperialism is scarcely a thing of the past.

Many of the problems people face in a situation that requires interaction with an empire remain fundamentally similar even if solutions to those issues change with time, geography, and cultural background. The actions of imperial authority in Achaemenid Anatolia, the ways people living in Anatolia reacted to or took on aspects of authority within an imperial context, the impact the empire had on how people lived and expressed themselves: these are important and valuable in analyzing modern societies too. Understanding autonomy and the situations in which people act autonomously within an empire matters as much as understanding the actions and motivations of top-down authority. When and where was autonomy granted by authorities? When did a person or group of

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#### PREFACE

people act autonomously without the authority to do so? What were the effects of these actions? It is extraordinary that enough material concerning Anatolia in the Achaemenid period is preserved that we can consider these behaviors in antiquity. If we wish, it can allow us a glimpse into our own lives as well.

#### A NOTE ON EVIDENCE

An enormous amount of evidence exists concerning Achaemenid Anatolia. It includes literary documents, archives, and inscriptions in Anatolia and elsewhere. The residua of satrapal palaces have been unearthed, and so has the everyday detritus of non-elite people. Mortuary assemblages, structures, and art have been found across Anatolia. Sculptures, sealstones, paintings, and terracottas afford glimpses into people's lives and priorities. Sealed bullae attest to archives, military structures show us the army, temples indicate gods, and coins demonstrate ideology and practice combined in a single artifact. Ceramic assemblages indicate people's dietary behaviors and how they developed; comparing the assemblages used by living people with those interred with the dead can illuminate ideologies. Because I have organized this book by behaviors and actions rather than by material or region, these different categories of evidence are repeatedly revisited to shed light on each issue. This is important because sometimes we have little direct evidence for, say, the co-option of local elites into the new imperial authority, but by combining the understanding gained through the study of Greek historical texts, mortuary sculpture, the architecture of power, and imagery on sealstones we can get a sense of what was happening and how.

There is currently more evidence for considering the lives of the elite in Achaemenid Anatolia than the non-elite, and this book reflects that bias. For instance, published mortuary material showcases the elite. Even a cemetery like Deve Hüyük, not one exclusively of the wealthy, is skewed in publication toward its more "valuable" contents (metals and jewelry rather than potsherds and skeletons), those being the materials for which people were willing to pay at the time it was dug. Recent excavations in Turkey are beginning to rectify this bias, but as yet the material is not fully available for study. This book therefore necessarily considers the lives and deaths of the elite in greater detail than those of the non-elite, although I have made every effort to include as much non-elite material as possible. The nature of the evidence varies according to place. Little mention is made in textual sources of Paphlagonia in the Achaemenid period, for instance, although there are archaeological remains. Grave stelae are common in some parts of Anatolia and so far unseen in others. Evidence for religious practice in Achaemenid Armenia is hard to find, although much evidence exists in other parts of the peninsula; evidence related to domestic architecture is hard to find in the published literature at all. Greek literary sources have a great deal

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#### PREFACE

to say about Lydia. The coins of Cilicia have been particularly well published. Intensive and extensive regional studies have been conducted in parts of Lydia and Hellespontine Phrygia, with recent exemplary publications. Tombs with painted interiors have been published from Lydia, northern Lycia, and southwestern Phrygia. Gordion has had its entire ceramic assemblage discussed in detailed publications, by excavators who were already familiar with Iranian as well as local and Greek assemblages. These exigencies have an impact on what we can say about different areas. I have tried to weave together as much information as possible, to explore particular behaviors and to trace some of those issues to which different Anatolian regions found similar or sometimes different responses.

#### WRITING THIS BOOK

My love affair with Achaemenid Anatolia began during my first season excavating at Sardis, just after I graduated from college, when a sherd turned up in my trench of a cup shape I had never seen before, painted with narrow horizontal stripes in lively white and red. When the project director, Crawford H. Greenewalt, jr., next came by I asked him what it was. "That," he said, "is a sherd of the so-called Achaemenid bowl." I was hooked. This feeling was reinforced in the following months when I began graduate school and took first a course on ancient Near Eastern archaeology and then a seminar on Achaemenid art with Margaret Cool Root. The clincher was the moment in February that year when Greenewalt sent me a picture of the impression left by an Achaemenidperiod cylinder seal from Sardis (IAM 4581) and then allowed me to write my seminar paper on it for Root. I love that seal; it still makes my heart go pitterpat every time I see an image of it. From that study came first an appreciation of Achaemenid art and then a fascination with the ideology and practice of the Achaemenid Empire. The years I have spent working in Turkey since have served only to increase my love of Anatolia and interest in Achaemenid imperialism. This book is thus the culmination of twenty years of research.

Research and writing were made possible thanks to an ACLS/SSRC/NEH International and Area Studies Fellowship, a Loeb Classical Library Foundation Fellowship, and a University of Colorado Graduate School Faculty Fellowship. A series of three-dimensional digital maps with exceptional resolution were made by Professor Karl Mueller of the Department of Geological Sciences at the University of Colorado Boulder; their creation was funded in part by a University of Colorado Faculty Research Fund, a University of Colorado Dean's Fund for Excellence Grant, and a University of Colorado Kayden Research Award. I wish I could thank adequately all those who have helped me with their thoughts and expertise; they include Catherine Alexander, Annalisa Azzoni, Tomris Bakır, Elizabeth Baughan, John Boardman, Pierre Briant, Nicholas Cahill,

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#### Preface



 Impression left by cylinder seal from Sardis. IAM 4581. Courtesy Sir John Boardman.

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# ABBREVIATIONS

# ACHAEMENID TEXTS

DB	Bisitun Inscription
DNb	Lower register of Darius's tomb at Naqsh-i Rustam
DNe	Names of peoples at Naqsh-i Rustam
DPd	Terrace Inscription of Darius at Persepolis
DPe	Terrace Inscription of Darius at Persepolis
DPf	Terrace Inscription of Darius (in Elamite) at Persepolis
DPg	Terrace Inscription of Darius (in Babylonian) at Persepolis
DSf	Foundation Inscription of Darius at Susa
XPh	"Daiva" Inscription of Xerxes
XV	Inscription of Xerxes at Van
PF	Persepolis Fortification Tablet
PFS	Persepolis Fortification Seal
PT	Persepolis Treasury Tablet
PTS	Persepolis Treasury Seal

### **CLASSICAL AUTHORS**

Ael. De nat. anim.	Aelian, De natura animalium
Ar. Acharn.	Aristophanes, Acharnians
Arist. Analyt. post.	Aristotle, Analytica posteriora
Arist. De mundo	Aristotle, De mundo
Arist. Rhet.	Aristotle, Rhetorica
Arr.	Arrian, Anabasis

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#### Abbreviations

Cic. De senect.	Cicero, De senectute
Cic. Poet. frag.	Cicero, Poetica fragmenta
Ctes.	Ctesias, Persica
Curt.	Quintus Curtius Rufus, Historiae Alexandri Magni
Dem.	Demosthenes
Dio. Sic.	Diodorus Siculus, The Library of History
Hdt	Herodotus, The Histories
Hell. Oxy.	Hellenica Oxyrhynchia
Hom. Il.	Homer, Iliad
Isoc.	Isocrates
Nepos Alc.	Cornelius Nepos, Alcibiades
Nepos Dat.	Cornelius Nepos, Datames
Plut. Alc.	Plutarch, Alcibiades
Plut. Alex.	Plutarch, Alexander
Plut. Artax.	Plutarch, Artaxerxes
Plut. Mor.	Plutarch, Moralia
Polyaen.	Polyaenus, Strategemata
PsArist. Oec	Pseudo-Aristotle, Oeconomicus
Strabo	Strabo, The Geography
Thuc.	Thucydides, History of the Peloponnesian War
Xen. Ages.	Xenophon, Agesilaus
Xen. Anab.	Xenophon, Anabasis
Xen. Cyr.	Xenophon, Cyropaedia
Xen. Hell.	Xenophon, Hellenica
Xen. Oec.	Xenophon, Oeconomicus

# **COLLECTIONS OF INSCRIPTIONS**

FGrH	Fragmente der griechischen Historiker
FHG	Fragmenta historicorum Graecorum
IG	Inscriptiones Graecae
OGIS	Orientis Graeci inscriptiones selectae
SEG	Supplementum epigraphicum graecum
SIG	Sylloge inscriptionum graecarum
TAM	Tituli Asiae Minoris

## **BOOKS/SERIES**

Achaemenid Impact	I. Delemen, ed., The Achaemenid Impact on Local
	Populations and Cultures in Anatolia (Sixth–Fourth
	Centuries B.C.), Papers presented at the International
	Workshop Istanbul, 20–21 May 2005, Istanbul 2007

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#### Abbreviations

AESArchaeological Exploration of SardisAMSAsia Minor StudienAOATAlter Orient und Altes TestamentL'archéologieP Briant and R. Boucharlat, eds., L'archéologie dede l'empire achéménidel'empire achéménide: nouvelles recherches, Persika6, ParisBARBARBritish Archaeological ReportsCAHCambridge Ancient HistoryCANEJ. Sasson et al., eds., Civilizations of the AncientNear East, New York, 1995DJDDiscoveries in the Judaean DesertEncIrEncyclopedia IranicaMDPMémoires de la délégation en PerseOBOOrbis biblicus et orientalisOIPOriental Institute PressSAOCStudies in Ancient Oriental CivilizationSIMAStudies in Mediterranean ArchaeologyTSTSToronto Semitic Texts and StudiesUCPCSUniversity of California Publications in Classical StudiesUMMAAUniversity of Michigan Museum of Anthropological ArchaeologyVABVorderasiatische BibliothekAAAArchäologischer AnzeigerAASAAnnali di Archeologia e Storia AnticaAASORAncient Civilizations from Scythia to SiberiaACIArchActa ArchaeologiaActarchActa ArchaeologiaActarchActa IranicaActa Vir Acta IranicaActaAASORAncient Civilizations from Scythia to SiberiaACSSAncient Civilizations from Scythia to SiberiaACIArchActa SumerologicaActarchActa Archaeologia <th>AchHist</th> <th>Achaemenid History</th>	AchHist	Achaemenid History
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AJAAmerican Journal of ArchaeologyAJAHAmerican Journal of Ancient History	AfO	Archiv für Orientforschung
AJAH American Journal of Ancient History	AHB	Ancient History Bulletin
	AJA	American Journal of Archaeology
AJPh American Journal of Philology	AJAH	
	AJPh	American Journal of Philology

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#### Abbreviations

4.10 I	
AJSemL	American Journal of Semitic Languages and Literature
AmAnt	American Antiquity
AmAnth	American Anthropologist
AMI	Archäologische Mitteilungen aus Iran
AMT	Archaeological Method and Theory
AnatAnt	Anatolia Antiqua
AnatSt	Anatolian Studies
ANES	Ancient Near Eastern Studies
ANSNNM	American Numismatic Society Numismatic Notes and
	Monographs
AntCl	L'antiquité classique
AntJ	Antiquaries Journal
AntK	Antike Kunst
AnzWien	Anzeiger der Österreichischen Akademie der Wissenschaften,
	philhist. Klasse
ARA	Annual Review of Anthropology
ArchEph	Archaiologike Ephemeris
ArkeoAtlas	Arkeo-Atlas Dergisi
ArsAsia	Ars Asiatiques
ArsOr	Ars Orientalis
ARTA	Achaemenid Research on Texts and Archaeology
AS	Arkeoloji ve Sanat
AST	Araştırma Sonuçları Toplantısı
AthMitt	Mitteilungen des Deutschen Archäologischen Instituts, Athenische
	Abteilung
AW	Antike Welt
AWE	Ancient West & East
BAI	Bulletin of the Asia Institute
BASOR	Bulletin of the American Schools of Oriental Research
BASP	Bulletin of the American Society of Papyrologists
BCH	Bulletin de correspondance hellénique
BMCR	Bryn Mawr Classical Review (http://bmcr.brynmawr.edu/)
BSA	British School of Archaeology at Athens
BSFN	Bulletin de la societé française de numismatique
СА	Classical Antiquity
CAJ	Cambridge Archaeological Journal
CAnth	Current Anthropology
CDAFI	Cahiers de la délégation archéologique française en Iran
СР	Classical Philology
CR	Classical Review
CRAI	Comptes rendus de l'Académie des Inscriptions et Belles-Lettres
CSCA	California Studies in Classical Antiquity

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#### Abbreviations

DHA	Dialogues de l'histoire ancienne
EA	Epigraphica Anatolica
EW	East & West
HSCP	Harvard Studies in Classical Philology
HZ	Historische Zeitschrift
IAMY	İstanbul Arkeoloji Müzesi Yıllığı
IEJ	Israel Exploration Journal
IJAIS	International Journal of Ancient Iranian Studies
IrAnt	Iranica Antiqua
IstForsch	Istanbuler Forschungen
IstMitt	Mitteilungen des Deutschen Archäologischen Instituts, Abteilung
	Istanbul
JA	Journal asiatique
JAS	Journal of Archaeological Science
JCS	Journal of Cuneiform Studies
JdI	Jahrbuch des Deutschen Archäologischen Instituts
JEA	Journal of Egyptian Archaeology
JEOL	Jaarbericht Ex Oriente Lux
JESHO	Journal of the Economic and Social History of the Orient
JFA	Journal of Field Archaeology
JGS	Journal of Glass Studies
JHS	Journal of Hellenic Studies
JMA	Journal of Mediterranean Archaeology
JNES	Journal of Near Eastern Studies
JPolPhil	Journal of Political Philosophy
JRAS	Journal of the Royal Asiatic Society
JRS	Journal of Roman Studies
JSA	Journal of Studies on Alcohol
KF	Kleinasiatische Forschungen
KST	Kazı Sonuçları Toplantısı
LAAA	Liverpool Annals of Archaeology and Anthropology
MDAFI	Mémoires de la Délégation Archéologique Française en Iran
MDAIK	Mitteilungen des Deutschen Archäologischen Instituts, Abteilung
	Kairo
MesArch	Mesoamerican Archaeology
MIAA	Material Issues in Art and Archaeology
MJBK	Münchener Jahrbuch für bildende Kunst
MKKS	Müze Kurtarma Kazıları Semineri
NAPR	Northern Akkad Project Reports
NC	Numismatic Chronicle
OAJ	Oxford Art Journal
OCTS	Oriental Carpet and Textile Studies

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#### Abbreviations

OJA	Oxford Journal of Archaeology
ÖJh	Jahreshefte des Österreichischen Archäologischen Instituts
OpAth	Opuscula atheniensia
PAPhS	Proceedings of the American Philosophical Society
PCPS	Proceedings of the Cambridge Philological Society
QDAP	Quarterly of the Department of Antiquities in Palestine
RA	Revue archéologique
RAN	Revue archéologique de Narbonnaise
RBibl	Revue biblique
RdÉ	Revue d'égyptologie
REA	<i>Revue des études anciennes</i>
REG	Revue des études grecques
RhM	Rheinisches Museum
SM	Schweizer Münzblätter
StIr	Studia Iranica
StTroica	Studia Troica
TTK	Türk Tarih Kurumu
TürkAD	Türk Arkeoloji Dergisi
ZA	Zeitschrift für Assyriologie und vorderasiatische Archäologie
ZÄS	Zeitschrift für Ägyptische Sprache und Altertumskunde
ZDMG	Zeitschrift der Deutschen Morgenländischen Gesellschaft
ZPE	Zeitschrift für Papyrologie und Epigraphik
ZVS	Zeitschrift für Vergleichende Sprachforschung