

1 **Secondary (additional) findings from the 100,000 Genomes Project: disease manifestation, healthcare**
2 **outcomes and costs of disclosure**

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31

32 **Abstract**

33 Purpose

34 The UK 100,000 Genomes Project offered participants screening for additional findings (AFs) in genes
35 associated with familial hypercholesterolaemia (FH) or hereditary cancer syndromes including
36 breast/ovarian cancer (HBOC), Lynch, familial adenomatous polyposis, MYH-associated polyposis,
37 multiple endocrine neoplasia, von Hippel-Lindau. Here we report disclosure processes, manifestation of
38 AF-related disease, outcomes and costs.

39 Methods

40 An observational study in an area representing one-fifth of England.

41 Results

42 Data were collected from 89 adult AF recipients. At disclosure, among 57 recipients of a cancer
43 predisposition-associated AF and 32 recipients of an FH-associated AF, 35% and 88% respectively had
44 personal and/or family history evidence of AF-related disease. During post-disclosure investigations,
45 four cancer-AF recipients had evidence of disease, including one medullary thyroid cancer. Six women
46 with an HBOC AF, three women with a Lynch syndrome AF, and two individuals with a MEN AF elected
47 for risk-reducing surgery. New hyperlipidaemia diagnoses were made in six FH-AF recipients, and
48 treatment (re-)initiated for seven with prior hyperlipidaemia. Generating and disclosing AFs in this
49 region cost £1.4m; £8,680 per clinically significant AF.

50 Conclusion

51 Generation and disclosure of AFs identifies individuals with, and without personal or familial evidence of
52 disease, and prompts appropriate clinical interventions. Results can inform policy towards secondary
53 findings.

54 **Introduction**

55 Genome sequencing has utility for understanding genetic contributions to rare disease and cancer(1,2)
56 and its use in research and clinical settings has significantly increased in recent years. The scope of
57 genome sequence analysis can technically be extended to include a search for variants associated with
58 risks of future or asymptomatic disease, which may be unsuspected. Identified variants that are not
59 pertinent to the presenting health condition have been termed incidental or, when intentionally sought,
60 secondary findings. In 2013, the American College of Medical Genetics and Genomics (ACMG) proposed
61 that a list of genes associated with conditions that are medically actionable before symptoms develop
62 should be screened in individuals undergoing genome sequencing(3,4). Other professional groups do not
63 recommend intentional clinical analysis of genes beyond those linked to the primary condition(5,6).
64 Studies exploring attitudes of patients, health professionals, researchers and the public find broad
65 support for the generation and return of actionable secondary findings(7). Identification of individuals at
66 risk of associated diseases could inform surveillance for early disease detection and risk management,
67 potentially saving lives and costly treatment of late-diagnosed disease. However, there is also potential
68 for overdiagnosis, unwarranted medical intervention and anxiety, and justice arguments have been
69 raised about offering 'opportunistic' screening to people already undergoing genome sequencing(8). A
70 search and disclosure policy remains the subject of clinical and ethical debate(9,10), which has tended to
71 focus on genome screening *per se*, with less attention paid to wider issues of clinical utility or the value
72 and costs to patients and healthcare systems of extensive, recurrent clinical investigations and
73 interventions to manage risk(11).

74 The UK 100,000 Genomes Project (100KGP), which began recruitment through the NHS in 2015, offered
75 participants limited secondary findings, which Genomics England termed ‘additional findings’ (AFs),
76 pathogenic and likely pathogenic variants in a number of genes associated with hereditary
77 breast/ovarian cancer syndrome (HBOC, *BRCA1*, *BRCA2*); Lynch syndrome (*MLH1*, *MSH2*, *MSH6*); familial
78 adenomatous polyposis (FAP, *APC*); *MUTYH*-associated polyposis (MAP, biallelic *MUTYH*); multiple
79 endocrine neoplasia (*MEN1*, *MEN1* and *MEN2*, *RET*); von Hippel-Lindau syndrome (*VHL*); familial
80 hypercholesterolaemia (FH; *LDLR*, *APOB*, *PCSK9*, *APOE* (p.Leu167del)). Around 1% of the UK population
81 are thought to harbour a pathogenic or likely pathogenic variant in one of the genes underlying
82 breast/ovarian cancer predisposition, Lynch syndrome, and FH(12).

83 Identification of a pathogenic variant is not synonymous with a clinical diagnosis(13). While studies
84 assessing genotype and phenotype in unselected biobank cohorts find considerable under-
85 ascertainment of affected individuals, variant penetrance (the proportion of variant-carrying individuals
86 who develop disease) is lower than in clinically ascertained families for a range of conditions(14),
87 specifically FH(12,15–19); hereditary breast/ovarian cancer syndrome(12,17,18,20–23); and Lynch
88 syndrome(12,17,18). While some biobank studies have reported on clinical outcomes of disclosing
89 clinically actionable variants(16–24), there are few reports of communicating secondary findings in
90 populations undergoing genome sequencing for diagnostic purposes(25). In their review, Sapp et al(25)
91 found more evidence about disclosure practices than outcomes of secondary findings and concluded
92 that evidence is limited regarding the prevalence of features consistent with specific secondary findings,
93 healthcare use and behaviours, impacts on recipients, and cost-effectiveness. To address these
94 questions in a real-world clinical setting, we undertook an observational study of participants receiving
95 an AF from 100KGP in the UK NHS in one geographical area of England. We report variants identified
96 and reported as AFs, disclosure processes, demographics and AF-related disease expression in recipients
97 and their families, clinical investigations and interventions offered to assess and manage disease risk,

98 and costs of identification, and disclosure. Consequent behaviours and psychosocial impacts on
99 recipients were studied using qualitative methods and will be reported separately.

100 **Setting**

101 The 100KGP recruited around 85,000 adults and children with undiagnosed rare disease or cancer
102 through the UK NHS between 2015 and 2018(26). During recruitment, 92% of participants answered
103 'yes' to the offer of a search for AFs. Further details are in supplementary material. Disclosure
104 consultations for individuals in the present study were held between November 2021 and October 2022.

105 **Methods**

106 This study reports on generation of AFs, disclosure processes and outcomes in the Central and South
107 Genomic Medicine Service (C&S GMS), one of seven NHS England alliances covering around one fifth of
108 the population of England. The study was approved by South Central Berkshire B Research Ethics
109 Committee (reference 21/SC/0254) and NHS Health Research Authority Confidentiality Advisory Group
110 (reference 21/CAG/0160). An AF is defined as a confirmed pathogenic/likely pathogenic variant not
111 previously reported to the 100KGP participant in whom it was found.

112 **Data collection**

113 A Patient Notification Document (PND; supplement) was designed by the study team and 100KGP
114 Participant Panel Chair (JHW), informing participants of their right to opt out of the present study.
115 Where clinical teams considered it appropriate, they sent the PND to adult participants after attendance
116 at an AF disclosure appointment. Children in 100KGP were offered only a subset of AFs(27) and were not
117 sent a PND. Data were collected relating to patients who were sent a PND and did not opt out after a
118 minimum of two weeks. Case report forms were devised for each AF-associated condition with input
119 from clinical teams, to collect demographic data, affected status with respect to primary condition,

120 personal and family history, referrals for AF-indicated clinical investigation or care, risk management
121 processes and outcomes. Data were collected from review of medical records (including but not limited
122 to the disclosure consultation) held at the hospital site disclosing each patient's AF, by the clinical or
123 clinical research team. Online data collection meetings between the site teams and study team were
124 held prior to and during data collection, and the first author visited sites to review data. Family history
125 data collected were as reported by the AF recipient to their care team and were not verified. Post-
126 disclosure healthcare data were collected by review of all data available at each site up to and including
127 31st March 2023, a mean of 51.9 weeks (range 24-72.9) since AF disclosure. Variant data were obtained
128 from clinical laboratories.

129 Costs

130 In brief, costs associated with all pipeline processes (Figure 1) were calculated and combined to estimate
131 the total cost of disclosing AFs in the C&S GMS. Costs were calculated from a healthcare provider
132 perspective, from the initial consent process up to and including the return of AFs in outpatient
133 appointments in secondary care. The costs of follow-up care (tests, interventions) occurring after the
134 disclosure consultation, and family cascade health service use were not included. Data on resource use
135 and unit costs were extracted from multiple data sources, including laboratory records, national pay
136 scales and NHS reference cost databases. Base case values were identified for all parameters, and
137 low/high values were specified for key potential cost drivers, for use in one-way sensitivity analysis. For
138 step 5 in the costing process (disclosure consultations), data were only available for 89 of a total of 157
139 individuals with an AF. We therefore scaled up the total cost by 1.76 (157/89) to estimate disclosure-
140 related healthcare costs across the whole population receiving an AF. A detailed description of the
141 costing methods, parameters and data sources is provided in the supplementary materials. Costs were

142 calculated per participant with an AF panel applied, per putative AF, and per individual with a true
143 (disclosed) AF. One-way sensitivity analysis was undertaken for key potential cost drivers.

144 Data analysis

145 To understand whether identification of an AF associated with cancer predisposition or FH differed
146 according to recruitment arm (cancer or rare disease) of 100KGP(26), we used Fisher's exact test for 2x2
147 tables to determine whether there was a difference in AF-relevant disease (evidenced by personal
148 and/or family history) between patients with an AF associated with cancer predisposition or FH.
149 Statistical significance was defined as $p < 0.05$.

150 Results

151 AF variant analysis and report

152 Figure 2 and Table S1 show the process of AFs variant generation and handling through to disclosure and
153 study cohort inclusion. Genomics England analysed an AF panel in genomes of 17,194 participants
154 recruited to 100KGP in C&S GMS who elected for AFs and identified 380 variants (putative AFs) in 377
155 (2.2%) individuals, of which 106 variants (27.9% of putative AFs) had already been reported through
156 standard of care testing, or primary 100KGP findings. Forty (10.5%) putative AFs were artefacts or
157 unconfirmed, 73 (19.2%) were of uncertain significance (VUS) and two (0.5%) benign. Heterozygous
158 *MUTYH* variants were found in two individuals in *cis*. These 117 variants (30.8% of all putative AFs) were
159 not reported to clinical teams. Three individuals had two putative AFs; in each case one AF was reported
160 and one variant removed after filtering.

161 Disclosure

162 An AF was found in 157 (0.91%) 100KGP participants in C&S GMS in the study period, including 13
163 children and 21 now-deceased individuals (Table S1); a relative of two deceased individuals attended a

164 disclosure appointment and received a PND. Patients were offered in-person or remote consultations to
165 disclose their AF and discuss implications and proposed clinical management. Clinical teams were unable
166 to contact five patients, and six did not engage with clinical contact or actively declined further
167 information. Disclosing clinical specialists and processes varied by site and AF gene (Table 1). Some sites
168 conducted a two-step disclosure process. In all trusts, AFs in cancer predisposition genes were disclosed
169 by Clinical Genetics personnel, either clinical geneticists or genetic counsellors; AFs in FH genes were
170 disclosed by specialist nurses either through Clinical Genetics, a bespoke nurse-led FH service, or a lipid
171 clinic consultant. In the latter case, patients were clinically assessed and managed by the disclosing
172 physician or referred to a local specialist service, unless already under the care of a lipid clinic. All other
173 AF recipients were referred to specialists for clinical assessment and management.

174 Participants

175 102 adult AF recipients had a disclosure consultation within the study time frame. For 13, clinical teams
176 considered it inappropriate to send the PND. No individuals opted out. Data were collected from 89 AF
177 recipients from 85 families who represent the study cohort. There were 67 unique variants in 11 genes.
178 Mean recipient age was 46 years (range 23-83), and 39 (44%) were female. Ethnicity data were collected
179 from medical records and stated as White British for 66 (74%). Thirty-seven (42%) individuals were
180 affected with the condition for which they were recruited to 100KGP. For 59 (66%), no primary finding
181 had been reported.

182 In the study cohort, a cancer predisposition gene AF was disclosed to 57 participants, 48 (84%) in the
183 rare disease recruitment arm and nine (16%) in the cancer arm. An FH gene AF was disclosed to 32
184 participants, 28 (88%) in the rare disease arm and 4 (13%) in the cancer arm. Differences in prevalence
185 of AF by gene and recruitment arm were not statistically significant (Table 1).

186 Evidence of AF-related disease at disclosure

187 At disclosure, 20/57 (35%) and 28/32 (88%) recipients of an AF in a cancer-associated gene and FH-
188 associated gene, respectively, had an apparent personal and/or family history potentially relevant to the
189 AF (Table 1, Figure 3a,) as defined in Table S2. This difference is statistically significant ($p < 0.001$) and
190 remains significant when including family history of diagnoses at unknown age or older age than would
191 suggest a primarily monogenic cause. Since genotype information was not available for relatives except
192 where stated, it is not possible to attribute relatives' reported phenotypes definitively to the AF. Specific
193 diagnoses or clinical findings noted in patient personal and family history for FH, hereditary
194 breast/ovarian cancer syndrome and Lynch syndrome are shown in Figure 3b.

195 FH

196 Among participants receiving an AF related to FH ($n=32$, age range 29-66, female $n=12$), 18 (56%) had a
197 relevant personal history: 18 had a prior diagnosis of FH or hyperlipidaemia including one who had a
198 cerebrovascular accident (CVA) aged in their thirties, and one a myocardial infarction (MI) aged in their
199 forties. Two had possible achilles tenosynovitis, of whom one had known hyperlipidaemia. One person
200 without known hyperlipidaemia had an abdominal aortic aneurysm. Eleven of these 18 also had a family
201 history in a first-degree relative (FDR) or second-degree relative (SDR) of at least one FH-related concern
202 including eight with a family history of hyperlipidaemia, six with premature cardiovascular disease (CVD)
203 or MI, and one with a CVA.

204 Of 13 individuals without known personal history of FH or hyperlipidaemia, nine had a family history
205 including at least one of hyperlipidaemia ($n=4$), premature MI/CVD ($n=5$), or CVA ($n=1$). Four individuals
206 had either no known personal or family history ($n=3$) or reported a family history of a cardiovascular
207 event at unknown age. Pre-disclosure low density lipoprotein-C (LDL-C) measurements were not
208 available for most recipients or for any relatives and no family had a prior genetic diagnosis of FH,
209 precluding a distinction between hyperlipidaemia and FH.

210 Cancer predisposition

211 Among participants with a cancer predisposition gene AF (n=57, age range 23-83 years, female n=27), six
212 (11%) had a personal history of cancer or clinical signs relevant to the AF including bowel polyps. Three
213 of the six also had a relevant family history. Fourteen (25%) had only a family history and 37 (65%) had
214 neither personal nor family history.

215 Thirty-eight participants received a *BRCA* AF (age range 23-69, female n=17). One had a personal history
216 of *BRCA*-associated cancer, pancreatic acinar cell carcinoma diagnosed aged in their seventies and for
217 which they were recruited to the 100KGP cancer arm; this individual's mother was diagnosed with
218 breast cancer aged in her seventies, and child with bile duct cancer aged in their forties (the *BRCA2*
219 variant was not reported as a primary finding). For three individuals without personal history of cancer
220 the variant was already known in recipients' families, having been identified during standard clinical care
221 based on family history. The AF recipient in one of these families was aware of the familial variant and
222 had actively deferred pre-symptomatic testing. Of the remaining 34, 11 had a family history suspicious
223 for HBOC (Table S2), including seven with a family history of breast cancer. Of the seven, two also had
224 an FDR diagnosed with prostate cancer (one aged in their fifties and sixties, respectively). Among the
225 remaining four families, two had an FDR diagnosed with ovarian cancer, one an FDR with pancreatic
226 cancer diagnosed age 74, and one with a relative diagnosed with prostate cancer aged in their fifties.
227 Sixteen individuals (42.1%) reported no *BRCA*-related personal or family history. A further six individuals
228 reported some family history of *BRCA*-related cancer diagnosed in elderly individuals or at an unknown
229 age, or uncertain diagnosis; we did not classify these families as having a positive history of HBOC.
230 Family history information was unavailable for one individual.

231 Ten participants received a Lynch syndrome-associated AF (female n=5, age range 25-92). Four (40%)
232 had a relevant personal history: one bowel mucinous adenocarcinoma (for which they were recruited to

233 the cancer arm of 100KGP; the AF was not reported as a primary finding) and prostate adenocarcinoma
234 *in situ* both diagnosed in their sixties, and a history of bowel polyps. Three relatives of that individual
235 had bowel cancer aged in their seventies, and an adult child had kidney cancer. A further individual had
236 papillary transitional cell carcinoma of the bladder/ureter and bowel polyps aged in their eighties and an
237 FDR diagnosed with bowel cancer aged in their forties. Two further individuals had a history of bowel
238 polyps: in the family of one, two relatives had a history of bowel cancer, three of brain tumour and two
239 of prostate cancer. Six individuals had no suspicious family history, although two reported some family
240 history diagnosed in elderly individuals or at an unknown age.

241 Two participants received an *APC* AF; neither had relevant personal or family history. The one individual
242 with biallelic *MUTYH* (homozygous) had a personal history of bowel polyps below age 35 and reported
243 no family history. Five participants had a *RET* AF and one a *VHL* AF; none reported personal or family
244 history.

245 Clinical investigations and outcomes

246 Outcomes after return of AFs are shown in Table 2. For recipients of an FH-associated AF (n=32), a mean
247 of 52.3 weeks (range 27.3–72.0) had elapsed between disclosure appointment and final data
248 interrogation. A lipid screen was arranged for 28 individuals. Of the 14 (44%) not known to have
249 hyperlipidaemia at disclosure, outcomes data were available for six who all began lipid-lowering
250 therapy. Two had total cholesterol measurements below 6 mmol/L and statin therapy was initiated due
251 to borderline total cholesterol or raised LDL-C. Of 18 (56%) individuals in whom hyperlipidaemia was
252 diagnosed before AF disclosure, seven were not taking lipid-lowering medication either because no
253 prescription had been made, or the individual had discontinued treatment. AF identification in
254 individuals with prior hyperlipidaemia prompted a change in management for 17: (re-)introduction of
255 lipid-lowering therapy, initially statin (n=13), supplemented with ezetimibe (n=1), or statin replaced by a

256 PCSK9 inhibitor together with ezetimibe (n=1), or increased dose (n=2). Ongoing care was arranged or
257 continued through a lipid clinic or other physician for 30 individuals.

258 Among recipients of an AF in a cancer-predisposition gene (n=57, 55 living), a mean of 51.7 weeks (range
259 24–72.9) had elapsed between disclosure appointment and final data interrogation. Some clinical
260 outcomes data were available for 22; four had a relevant post-disclosure diagnosis.

261 All 16 age-eligible female recipients of a *BRCA1/2* gene AF were referred for breast imaging. Age-eligible
262 male *BRCA1/2* AF recipients (n=17) were recommended to discuss prostate cancer risk/screening with
263 their GP or referred to urology. One man sought a mammogram. Of 17 women with a *BRCA1/2* AF (age
264 range 24-69), ten were referred for discussion of risk-reducing mastectomy (RRM). Of four for whom
265 outcomes data were available, two elected for surgery. Six women elected against RRM referral at AF
266 disclosure. Ten women were referred for discussion of risk-reducing bilateral salpingo-oophorectomy
267 (RRBSO). Of five for whom outcomes data were available, four elected for surgery; three for
268 conventional RRBSO, and one had early salpingectomy with delayed oophorectomy as part of the
269 PROTECTOR study(28). A *BRCA1* variant disclosed to one individual (without prior personal or family
270 history of *BRCA*-related cancer) was re-classified from likely pathogenic to VUS during the study period
271 after national variant discussions. The patient had attended consultations with breast and gynaecology
272 surgery teams but had not made surgical decisions.

273 All nine living recipients of a Lynch syndrome AF were referred for bowel screening or to a Lynch
274 syndrome MDT clinic. Colonoscopy results were available for two individuals (aged in their 50s). One
275 small polyp was found in both, one of whom had a previous bowel polyp removal. Seven individuals
276 were referred to their GP for a *Helicobacter pylori* test (no outcomes data available). Three commenced
277 daily aspirin. Three women were referred to gynaecology and all elected for risk-reducing hysterectomy

278 and RRBSO. The single *MSH2* AF recipient was referred for kidney scans in addition to bowel screening
279 (no outcomes data available).

280 Both recipients of an *APC* gene AF were referred for colonoscopy and endoscopy. Outcome data are
281 available for one individual aged in 40s with no prior personal or family history. Four bowel polyps (two
282 sessile, two adenomatous) were found. Gastroscopy was normal. The individual with biallelic *MUTYH* AF
283 was referred for bowel screening (no outcomes data available). All five *RET* gene AF recipients received
284 some screening including four for thyroid ultrasound scans and four for biochemical tests. One
285 individual aged in their 40s with AF NM_020975.6(*RET*):c.2410G>A (p.Val804Met) without prior personal
286 or family history of MEN-related disease was initially found to have raised calcitonin and underwent
287 total thyroidectomy; a medullary thyroid carcinoma was detected. A second individual underwent risk-
288 reducing thyroidectomy following a thyroid ultrasound scan showing bilateral nodules. The recipient of a
289 *VHL* AF attended a VHL clinic, an ophthalmology clinic and had an abdominal MRI scan with normal
290 findings.

291 For individuals with an AF in genes associated with FAP, MAP, and VHL, no risk management procedures
292 were documented during the study period.

293 Costs of disclosure

294 Costs were calculated or estimated for the processes shown in Figure 1 and supplement. The mean
295 number of disclosure-related outpatient episodes was 1.35 and the mean cost of outpatient care was
296 £555 per recipient in the study cohort (Table 3). Participants with a cancer-related AF had more
297 disclosure outpatient episodes (1.54 vs 1.00) and accrued greater outpatient care costs (£714 vs £270)
298 than participants with an FH-associated AF. Cost differences by trust and gender reflected differences in
299 episode coding and case mix, as well as differing proportions of episodes that were consultant-led.

300 The total cost of generating and disclosing AFs in the C&S GMS is £1.4m (Table 4). This represents a cost
301 of £79 per participant in whose sample an AF panel was applied, £3,615 per participant with a putative
302 AF, and £8,680 per disclosed AF. The most expensive component is genomic analysis (£1,065,261). One-
303 way sensitivity analysis indicated that most parameter variations had no effect on the study results. The
304 one exception was the cost of the Genomics England AFs pipeline: when this increased from £56 per
305 genome to £84 per genome, the cost per new AF identified increased from £8,680 to £11,746. When
306 this cost reduced from £56 per genome to £28 per genome, the cost per new AF identified decreased
307 from £8,680 to £5,613.

308 **Discussion**

309 This is the first report of identification and disclosure through the NHS of 100KGP AFs, clinically
310 actionable secondary findings in a limited set of genes associated with cancer predisposition and FH, to
311 adult participants. This observational study addresses several aspects of clinical utility of genomic
312 testing(29) including diagnostic thinking, therapeutic management, patient health outcomes, and
313 economic costs. A clinically actionable AF was reported in 0.91% of 17,194 100KGP participants who
314 elected for AFs screening. From data extracted from medical records for 89 adults who attended an AF
315 disclosure consultation, 48 AF recipients (54%) had a relevant personal and/or family history at
316 disclosure. Personal and family histories were significantly more common in recipients of an FH-
317 associated AF than a cancer predisposition-associated AF, in line with studies investigating disease
318 evidence in population studies(12,14,17,18). Cancer-related AF disclosure was managed through Clinical
319 Genetics, and specialist referrals made for clinical investigation and care. Disclosure of FH-related AF
320 was managed either via a lipid clinic consultant who also co-ordinated management, or via specialist FH
321 nurses. Clinical care arranged for AF recipients was consistent with UK recommendations irrespective of
322 personal and family history, and most participants engaged with recommended screening. In ten

323 individuals for whom outcomes data were available, a clinical diagnosis of AF-related disease was made
324 during post-disclosure clinical investigations. Overall, the AFs analysis and disclosure process cost £79
325 per participant, and £8,680 per individual to whom an AF was disclosed. The overall cost of generating
326 and disclosing AFs across the C&S GMS was £1.4m.

327 One *BRCA* variant, detected in a woman in her 30s without family history of cancer, was re-classified
328 from likely pathogenic to variant of uncertain significance during the study period. This case highlights a
329 potential significant harm of opportunistic screening. Although genetic counselling can aim to support
330 nuanced decision making around risk management, it may not be possible to allay patient uncertainty
331 and anxiety before and after re-classification, particularly when risk management strategies are life-
332 altering and irreversible. Our study includes three individuals in whose family there was a clinically
333 reported variant for which the AF recipient had not personally undergone predictive testing. One
334 individual had actively chosen to defer testing for the familial (*BRCA*) variant until around the time at
335 which breast screening would begin, highlighting the need for effective informed consent and
336 illustrating potential psychological harms to individuals and families which may be exacerbated by a
337 considerable time gap between consent and disclosure.

338 Our findings suggest that opportunistic screening for FH would identify many individuals with FH who
339 are not under medical care, leading to initiation of or change in lipid-lowering therapy. The finding that
340 seven individuals had a prior diagnosis of hyperlipidaemia but were not taking lipid-lowering medication
341 highlights the need for increased primary care and patient awareness of FH. In the UK Biobank, LDL-C
342 levels were significantly higher among heterozygous carriers of a pathogenic/likely pathogenic FH
343 variant than non-carriers, and carriers had a three-fold risk of developing atherothrombotic
344 cardiovascular disease compared with non-carriers(12). US population prevalence of hyperlipidaemia
345 among FH carriers is 87%(19). FH is underdiagnosed and undertreated in most countries(30); NHS

346 England estimate that less than 8% of affected people are currently identified(31). Most individuals can
347 be managed in primary care at low cost after an initial lipid clinic assessment, and LDL-C can be routinely
348 measured allowing phenotype-guided treatment and monitoring of efficacy, and therapy implemented
349 irrespective of age. Genetic diagnosis is valuable for risk stratification and family cascade testing(32),
350 and our data show that a genetic diagnosis can prompt changes in clinical care regardless of prior clinical
351 diagnosis.

352 Regarding opportunistic screening for cancer predisposition, our data are less compelling; a small
353 minority of individual heterozygous variant carriers had personal evidence of relevant disease. However,
354 evidence of AF-related disease was found during post-disclosure investigations, highlighting the value of
355 generating and disclosing AFs. For *BRCA*-related cancer in women and Lynch syndrome-related
356 gynaecological cancer predisposition, no reliable intermediate biochemical or clinical measures of
357 disease manifestation are available, and in our cohort, several unaffected women for whom data are
358 available elected for risk-reducing surgery. A low rate of cancer diagnosis at disclosure in our cohort (age
359 range 21-92 for cancer AFs) does not preclude increased risk of cancer at older age. Indeed, in an older
360 cohort, the prevalence of relevant cancer was significantly increased among heterozygous carriers: 4.11-
361 fold for female carriers of a *BRCA1/2* variant and 12.77-fold for carriers of a Lynch syndrome variant(12).
362 Family history is limited as a means of identifying heterozygous variant carriers: a large proportion of
363 variant carriers (75% for HBOC, 63% for Lynch syndrome, 34% for FH) had no family history of relevant
364 disease in an FDR(12) or would not qualify for genetic testing under relevant guidelines (67% for HBOC,
365 77% for Lynch, 86% for FH(17)). In another biobank study, 34% of *BRCA1/2* carriers would not meet
366 testing criteria(20).

367 The 100KGP AFs genes(27) are a subset of the ACMG secondary findings gene list(3,33), and do not
368 include genes associated with inherited cardiac conditions (ICC), which account for a large proportion of

369 all ACMG secondary findings(34). Penetrance of ICC gene variants is incomplete: for two of these
370 prevalent disorders, hypertrophic cardiomyopathy and dilated cardiomyopathy, variant penetrance in
371 UK Biobank is 23% and 35% respectively(35). Our earlier small studies report on the complexities of
372 secondary findings in ICC(36,37). The ACMG continue to revise and expand their secondary findings gene
373 list(33), notwithstanding the need to accumulate evidence of clinical utility(3).

374 We have presented information on the costs of AFs generation and disclosure but did not conduct a
375 formal economic evaluation due to the narrow scope of our analysis. The estimated cost per true AF
376 identified in our study population was £8,680. Determining the cost-effectiveness of a policy of offering
377 AFs, including whether this falls below the National Institute for Health and Care Excellence cost-
378 effectiveness threshold of £20,000-£30,000 per unit of effectiveness gained(41), will require studies
379 expanding the analytical perspective to capture all costs and consequences, including short and long-
380 term cost implications and impacts of returning AFs on life expectancy and quality of life.

381 Our cost estimates are broadly in line with the limited literature. For individuals in the USA receiving
382 secondary findings from the ACMG-recommended list, the mean cost of follow-up medical actions per
383 finding up to one year post-disclosure was \$128-\$421, depending on medical action responses(38). In a
384 modelling study evaluating the resource implications of returning secondary findings in Australia, the
385 cost per individual was \$430, and the cost per clinically significant finding \$4,349(39). Population
386 genomic sequencing in the USA for a panel of high-evidence genes associated with FH, HBOC and Lynch
387 syndrome was judged likely cost-effective when compared with US cost-effectiveness thresholds, at
388 \$68,000 per QALY gained(40). However, an earlier US modelling study reported that returning secondary
389 findings is unlikely to be cost-effective for generally healthy individuals(41).

390 We have previously reported expert views that an approach to opportunistic screening should be at
391 variant-level(9), and this view is supported by evidence that penetrance is heterogeneous even within

392 the same disease gene(14,19). Since monogenic disease expression is modified by common genetic
393 variation(42–45), incorporating polygenic risk scores (PRS) with screening for monogenic variants might
394 in the future increase the accuracy of risk estimation and be used to tailor genetic counselling and risk
395 management. However, PRS are based on genome-wide association studies, in which the majority of
396 participants are of European descent, meaning that PRS are not generalizable to globally diverse
397 populations(46).

398 Opportunistic genomic screening is distinct from population screening, and recommendations to report
399 secondary findings are not necessarily an endorsement of population screening in a public health
400 context(47). The ACMG propose that DNA-based risk detection should be evidence-based and comply
401 with health screening criteria(48), and UK guidance criteria for population screening programmes are
402 based on the same principles(49). One criterion is that the ‘natural history’ of a condition proposed for
403 screening should be understood, including penetrance and age of onset in heterozygous variant carriers;
404 such data remain limited. Health equity is imperative for a genomic screening policy(50), and
405 implementation should consider design to benefit the whole population(13). A targeted approach -
406 considering age of commencement of screening and risk management for a given condition - would
407 offer greater population benefits than opportunistic genomic screening, while minimising risk of
408 psychological harms that might result from disclosing a disease-predisposing variant several years
409 before screening would be offered. Given the reduced costs of genetic testing (a bespoke gene panel
410 may be more cost-effective than genome sequencing), population genetic screening could re-focus
411 resources at an earlier stage in disease development, with advantages for individuals and health
412 systems(15,51,52). Implementation of a targeted approach would require separate considerations for
413 cancer predisposition and FH, and while a disease-specific approach would inevitably place a burden on
414 health services, cancer- and FH-risk are managed by appropriate care specialisms. Maximising the utility
415 of population screening while minimising psychological harms will require genomic counselling to

416 promote communication to relevant family members, psychological support and referral for appropriate
417 risk assessment and management, and care in delivery to minority groups. The current under-
418 representation of individuals without recent north European ancestry in genomic datasets(46) presents
419 a challenge to equitable genomic healthcare. Workforce planning and education to support delivery of
420 preventative healthcare requires a long-term outlook.

421 **Limitations**

422 This study presents data from a real-world clinical situation and is limited by relatively small numbers of
423 AF recipients and limited outcomes data available. In many cases specialist investigations took place at
424 non-participating hospitals or after the study timeframe, and we are unable to report on pursual of
425 referrals. Including family history of potentially relevant disease is likely to overestimate disease
426 occurring due to the variant identified as an AF, since monogenic predisposition to cancer and FH (or
427 hyperlipidaemia) represents a small proportion of total disease prevalence and in ungenotyped
428 relatives, monogenic disease cannot be distinguished from multifactorial disease. We did not seek to
429 verify patient-reported family history data.

430 Some limitations should be noted related to the cost analysis. First, we assumed all participants were
431 consented individually but some may have been consented as a family group, slightly overestimating
432 consent costs. Second, as disclosure-related secondary care resource use data were only available for a
433 subset (89 of 157 participants with an AF), we scaled up this cost to estimate secondary care costs
434 related to AFs disclosure across the population (n=157), potentially overestimating costs in this
435 category. Third, data were not available for most of the resource use items included in the analysis to
436 facilitate the extension of our analysis to consider the uncertainty surrounding our results using
437 probabilistic sensitivity analysis. However, one-way sensitivity analysis suggests that there is one major
438 cost driver: the cost of the Genomics England AFs pipeline. Fourth, this was an observational study with

439 no comparator group. Future studies comparing populations who receive AFs with those who do not
440 could allow more robust conclusions to be drawn about the value of returning AFs.

441 The health economic analysis performed is restricted to processes of generation and disclosure of AFs
442 and does not include subsequent tests or interventions. Further research is required to understand
443 longer-term health outcomes following disclosure, the value of providing care to AF recipients over the
444 lifespan, impact on life expectancy, personal utility, and the extent to which AFs disclosure led to family
445 cascade testing. Meaningful costing of follow-up care would require longer-term capture of sequential
446 investigations, interventions, and family testing.

447 **Conclusions**

448 This study addresses several aspects of the clinical utility of secondary findings in selected genes
449 associated with cancer predisposition and FH, including correlation with phenotype, clinical care
450 interventions, patient health outcomes, and costs of generation and disclosure. Findings show that
451 disclosing clinically significant secondary genomic findings in these genes identifies individuals with, or
452 at risk of associated disease, and can prompt appropriate clinical interventions. Evidence of relevant
453 disease was present in a significantly greater number of recipients of an FH-associated AF than in
454 recipients of a cancer-associated AF. Questions of resourcing and equitable implementation of
455 generating potentially disease-associated genomic findings in clinically unascertained populations, either
456 as secondary findings or in a population screening context, require improved understanding of the
457 natural history of these health conditions and long-term outcomes.

458 **Data Availability**

459 Because of the sensitive nature of the data collected for this study, requests to access the datasets from
460 qualified researchers trained in human subject confidentiality protocols may be sent to the University of
461 Oxford via the corresponding author at liz.ormondroyd@cardiov.ox.ac.uk.

462

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477 **Author Contributions**

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484

485 **Ethics Declaration**

486 The study was approved by South Central Berkshire B Research Ethics Committee (reference
487 21/SC/0254) and NHS Health Research Authority Confidentiality Advisory Group (reference
488 21/CAG/0160).

489

490 **Conflict of Interest**

491 The authors declare no conflicts of interest.

492

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644

645 **Figures and tables**

646 Figure 1. Sequential processes associated with AF generation and disclosure for which costs were
647 estimated

648 Figure 2. Flowchart showing 100KGP additional findings pipeline

649 Figure 3. Numbers of AF recipients in the study cohort with a personal and/or family history of AF-
650 related disease known at disclosure, and specific diagnoses or clinical signs of features consistent with
651 the AF at disclosure

652 Table 1. Participant demographics, AF gene, recruitment arm, primary condition status and result
653 category, personal and family history of AF-related disease, disclosure process

654 Table 2. Post-disclosure risk assessment and risk management procedure referrals and outcomes

655 Table 3. AF disclosure secondary care resource use and costs per AF recipient

656 Table 4. Overall cost of AFs generation and disclosure process in the C&S GMS

657