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Psychological and ethical issues raised by genomic in paediatric care pathway, a qualitative analysis with parents and childhood cancer patients

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Article

Keywords: psychological issues, paediatric oncology, genomic, paediatric bioethics; incidental findings, consent, decision-making, parent, children, adolescent, young adult, qualitative analysis

Posted Date: December 14th, 2023

DOI: https://doi.org/10.21203/rs.3.rs-3714490/v1

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Additional Declarations: There is no duality of interest

Abstract

In paediatric oncology, genomics raises new ethical, legal and psychological issues, as somatic and constitutional situations intersect throughout the care pathway. The discovery of potential predisposition in this context is sometimes carried out outside the usual framework. This article focuses on the views of children with cancer and their parents about their experience with genomic testing. 48 semi-structured interviews were performed with children with cancer and one of their parents, before and/or after receiving the genetic test results. The interviews were fully transcribed, coded and thematically analysed using an inductive method. This analysis revealed several themes that are key issues for parents and children: perceived understanding and consenting, apprehension about the test outcomes (expectations and fears), perception and attitude towards incidental findings. The main expectation for parents and children was an aetiological explanation. Children also emphasized the altruistic meaning of genetic testing, while parents seemed to expect a therapeutic and preventive approach for their child and the rest of the family. Parents were more concerned about a family risk, while children were more afraid of cancer relapse or transmission to their descendants. Both groups suggested possible feelings of guilt concerning family transmission and imaginary representations of what genomics may allow. Incidental findings were not understood by children, while some parents perceived the related issues and hesitated between wanting or not to know. A multidisciplinary step by step approach would be an interesting way to help parents and children to better grasp the complexity of genetic and/or genomic testing.

INTRODUCTION

In paediatric oncology, germline or somatic genome sequencing is proposed to characterize the cancer type, personalize therapy, obtain data on germline variants for preventive and familial implications, and for clinical research purposes. Thus, patients and their parents are confronted with situations where somatic or germline tests, targeted gene sequencing or genome sequencing intersect. Genome sequencing brings eventually information on constitutional variants linked to the current disease, but also additional data, for instance pathogenic variants not directly related to the initial indication (incidental findings or secondary findings), and variants of unknown significance apart from a usual consultation on genetic predisposition in children (1, 2, 3, 16). Whatever the pathology, genetic testing is associated with psychological and ethical issues for the child, parents, and professionals (4-8). Guidelines for genetic testing in adults have been published (9-11) and they should be adapted to children and adolescents (5, 12, 13). Studies on ethical and psychological issues associated with genetic and genomic testing in children are limited. In a narrative review of 18 articles, we explored the perspectives of parents and to a lesser extent of children with cancer and highlighted areas of ambivalence concerning the subjective implications of those tests (desire for treatment, desire for knowledge, uncertainty, and guilt) (14). The aim of this gualitative study was, thanks to a suitable methodology, to understand how parents and also children perceive genetic or genomic testing and to analyse their psychological implications, expectations, and representations before and after the result announcement, whatever the type of test proposed.

METHODS

Context

GeneInfoKids is a national project financed by the French National Cancer Institute with three axes: ethical, legal and psychological issues raised by next generation sequencing for children with cancer. The main objective of the psychological axis is to describe the psychological implications in families of children undergoing genome sequencing. It includes a qualitative study (described in this article) and a quantitative study based on the themes defined by this qualitative study.

Participant recruitment

The inclusion criteria were children, adolescents and young adults with cancer or past history of cancer age between 10 and 25 year at the time of inclusion in the study, having undergone somatic or constitutional testing in a clinical or research context (i.e. MAPPYACT) (15) at one partner centre (Gustave Roussy, Hôpital Robert-Debré, Hôpital Armand-Trousseau, Institut Curie), and French speaking. Children who met the inclusion criteria and at least one of their parents received an information leaflet by the clinical team. Then, a research psychologist contacted by phone the parents (for minors) or the patients directly (for adults), explained again the study, and validated their consent to participate.

Interviews

Interview guides were developed in a vocabulary and style suitable for parents and children, based on literature data and on the clinical experience of the study investigators involved in genetic testing for childhood cancer (16) (supplementary materials). Two semi-structured interviews were planned: one after the genetic test proposition and one after the genetic test result announcement. In the first interview, the main discussion topics were: family and disease context, interest in genetic or genomic testing, knowledge of the possible result types (e.g. primary result, incidental findings), expectations and fears, consent decision-making modalities. In the second interview, the main topics were: knowledge about the possible results, associated emotions, match between expectations and results, consequences and implications of the results, temporality of genetic testing in the care pathway, need of a dedicated psychologist consultation. Only parents were asked to give their opinion on their preparation, from information to announcement. Information on the pathology and type of test performed were also collected.

Data analysis

All interviews were audio-recorded, transcribed and pseudonymized. They were analysed using MAXQDA 2020 with a systematic coding and thematic analysis using an inductive method (17). Eight interviews were double coded to define the themes, the others were coded by one researcher and discussed with the other one. The final thematic analysis plan was discussed by three researchers. The number of occurrences corresponds to the number of patients or parents who stated these ideas which were questioned or not according to the clinical context and understanding.

RESULTS

1. Description of the semi-structured interviews

Interviews (n=48) took place between July 2020 and May 2021. They lasted 15-90 minutes (mean: 35 minutes): 29 interviews (60%) were face-to-face in the hospital and 19 (40%) were by videoconference, due to the COVID-19 pandemic. In total, 21 families (19 children and 18 parents) were interviewed before and after, only before, or only after the test (Table 1). Both parents had the opportunity to participate, but in only one family both parents were interviewed due to their availability. Among the families who agreed to be contacted for this study, five declined to participate (refusal by one parent) mainly because they felt overwhelmed or insufficiently informed on the genetic approach (confirmation rate: 80.8%). Therefore, we interviewed 14 children with cancer and 13 parents before the test disclosure and 10 children and 11 parents afterwards. The thematic analysis of the interviews led to the construction of four categories: children, parents, before, and after the genetic results (Table 2 and Table 3).

2. Patients' description and genetic or genomic testing context

The patients' mean age was 14.4 years (10-24); 7 patients had a haematological malignancy and 14 a solid tumour. Children underwent somatic testing (n=5), germline and somatic testing (n=7), and germline testing (n=9) including also for research purposes in four families (Table 4). How genetic testing was proposed varied in function of the hospital and the indication: one or more genetic consultations dedicated to genetic/genomic testing (n=7); genetic information given during a standard oncology consultation preceded by genetic counselling (n=7); genetic information given during an oncology consultation (n=6); and no genetic consultation (did not attend or did not remember attending it (n=1).

3. Factors influencing genetic testing consent decision-making

The families' feelings about consenting to genetic testing seemed to be influenced by how the test was proposed (i.e. consultation type, clinical and psychological context, perception of the type of test and medical indications).

a. Antagonistic feelings between genetic testing and cancer

Eight families described antagonistic feelings concerning genetic testing in the context of cancer. Cancer was described as a time of urgency and suspended present, due to the disease traumatic intrusion and death anxiety. It left little room to decide, *"it leaves no choice to decide, whatever it is"* [mother]. Some families explained their indifference and distance concerning genetic testing by its perceived lack of immediate usefulness for cancer treatment. Four families facing a therapeutic impasse initially showed few explicit expectations and distanced themselves from genetic testing. However, as the interview progressed, they expressed a form of last therapeutic hope concerning genetic testing. Four families would have liked to have more time to think about genetic testing to better understand what was done. Three parents would have preferred to wait until the treatment end.

b. Psychological availability and understanding

Most families showed only a relative psychological availability to genetic testing that influenced their understanding. As a protection when faced with too much information in a difficult context, some interviewees used some defence mechanisms. Four children described this psychic unavailability: *"I was so down at that moment that even if I had been informed, it would have been useless. I wouldn't have understood..."* [patient, 15-25 years]. This influenced the families' capacity to consent. Some did not remember consenting to genetic testing, others vaguely, with a feeling of accumulating information *"without really digesting it"* [mother].

During the first interview before result, children expressed their relative (6/14) or complete (5/14) lack of understanding of genetic testing. Four did not even know the word genetic. Two had forgotten they had a dedicated consultation (confirmed by the teams and parents). In the second interview after genetic test result, most children (6/10) reported a lack of knowledge about genetic testing. One patient said that the adults had not told her about it. Five patients forgot about the result or most of the consultation or did not really understand the results and their implications. Only one patient (15-25 years) seemed to have generally understood the genetic results, but not the more complex information.

Before result one parent (1/13) reported a good understanding of genetic testing, four parents had a partial understanding, and two parents partially or completely forgot about it. After the results, most parents (7/11) described a form of psychological unavailability that may have hindered their understanding; two parents understood very little of the results, and two were completely unaware of the results. Two parents felt that they had understood the results and their implications. One of them said that the preparatory work (drawing the family tree) before the genetic consultation helped him to better understand the results. Two parents relied on their trust in medical professionals, "*I assume that they too are doing their best to find a cure for her. [...] I don't want to see it any other way [...] it risks traumatizing my conscience (laughs)*" [mother]. The degree of understanding was also related to the initial expectations concerning genetic testing. When expectations were low (e.g. therapeutic impasse), families felt not implicated and did not try to understand.

c. Influence of the consultation type

In function of the genetic testing indication and hospital, information and/or the results were given in different contexts. The patients' discourses suggested that these differences influenced their understanding and feelings about the received information.

In the first interview, parents who discussed about genetic testing (indication and results) during one or more dedicated onco-genetic consultation, better understood the involved issues and started to think about incidental findings (9/13). Conversely, parents who received genetic information during an oncology consultation (4/13) said that their attention was focused on their child's cancer rather than on genetic investigations. Similarly, in the second interview, attention was more on the cancer than on the results when the genetic results were transmitted during an oncological consultation (4/11), as testified

by a mother: *"in the end it almost... erased all this questioning about genetic predisposition".* Genetic counselling helped some children to better understand (3/14), but not others (4/14) due to concerns about their recovery, cognitive side effects, and young age. Children who attended a onco-genetic consultation were more familiar with the meaning of the word genetics. Moreover, most parents and children remembered having met a psychologist or psychiatrist during the cancer care pathway, but only two for a discussion on genetics.

d. Somatic/germline testing, a blurred perception by families

The understanding of the different test types by families was variable (Table 1) and some could not distinguish them (11/37). One parent who understood the difference between somatic and germline tests did not know exactly which test type was offered to his child. Three parents who understood that a tumour analysis was done to find therapeutic adjustments, expressed a sense of urgency that left little time to think about the other potential implications of results. Concerning testing done for research purposes (n=4), one parent wondered about its temporality and the possible updating of his child's consent when adult. One child felt that by entering a research protocol, he gave some sort of consent for germline testing. Two patients said that it was essential to participate in research but did not remember what the objective was.

4. Is there room for an informed decision?

The parents justified their consent by the healthcare professional's explanation: search of the cancer cause (4/13), tumour characterization and treatment optimization (2/13), research purposes (2/13), and possible family prevention (2/13). A mother explained that the rarity of her child's cancer led the healthcare professionals to propose genetic testing. Most children (7/14) said that they were influenced by the adults, *"it was my parents who wanted to do it"* [patient, 10-14 years]. They remembered particularly the adults' explanations on etiological research and treatment. Before the test, decision-making varied. Among children, some accepted without hesitation (2/14), while others (2/14) felt that they had not really decided, and they needed more explanations or time to form a more precise opinion (1/14). Some parents (3/13) accepted without hesitation and with a sense of urgency. Two parents expressed a sense of responsibility, or even a moral duty, to undergo genetic testing for the family, and thus the absence of a real choice, *"we don't want anyone in our family to go through what we went through [...] I say to myself, it's our responsibility [...] for our children, and our nephews and <i>nieces"* [mother]. Two parents felt that they had not really consented, two forgot that they had given their consent, and another was uneasy about the test purpose.

5. What place for children?

When genetic testing was discussed and when the results were given, children were sometimes not present. Some did not want or could not participate, but others did not know about it. A 16-year-old patient said, after some hesitation, that she would have liked to have been informed and present to talk

about genetic testing. Some children forgot they were present during these consultations. For others, discussion with their parents helped to better understand the issues.

In the first interview, most parents (7/13) said that they had discussed about genetic testing with their child to ensure that they were well informed and agreed to participate. Two parents did not discuss about genetic testing with their children because they felt that they were psychologically unavailable. Four parents remembered that the healthcare professionals spoke directly to the children and one parent emphasised the adjusted speech used: *"she addressed him in very simple words. And... she let him talk a lot and rephrase what she was saying to make sure he understood"* [mother].

Concerning their child's role during the result consultation, some parents remembered an active (2/11) or a more withdrawn presence (3/11). One parent noted that their child was not present at this consultation. Two parents said that they could not talk about genetic testing with their child because they were too preoccupied with the ongoing cancer treatment. One parent seemed to regret this: "*we quickly moved on to other things while, in fact, for her I think it was important and… I realize that we didn't discuss it again*". Two mothers remembered their child's presence at the result consultation, whereas their children thought they were not there.

Thus, the children's place varied according to their age, their supposed maturity, the clinical and family situation, the parent-child relationship, and the place given by the healthcare professionals to them in this consultation.

6. Anticipating and reacting to the genetic test outcome

a. Anticipating the results: expectations and fears

In the first interview, children and parents expressed expectations and fears. For some children, the lack of knowledge about genetic testing made it difficult to anticipate the results. Children listed as expectations: aetiological explanation (4/14), altruistic participation in research (4/14), possible prevention (3/14), and therapy adjustment (3/14).

The parents' expectations were: characterization of their child's disease (4/13), explanation of their child's cancer aetiology (8/13), targeted treatment (8/13) (in line with the test aim), and hope of a last chance (2/13) in case of therapeutic impasse, and possible prevention (8/13) for their child and family. Five parents did not really know what to expect, and five expressed ambivalent expectations: anxiety about the possible impact of the results and reassurance about the possible prevention.

The fears expressed by the children were: risk of relapse (3/14), risk of transmission to their future children (3/14), not knowing what to expect in terms of results (3/14), imaginary and erroneous representations of what genetics would allow (3/14) (e.g. to find an environmental cause of the cancer, to give access to one's whole identity). Two patients feared that a non-response concerning their cancer aetiology with genetic testing would leave them with a void of meaning about the disease. A young

patient evoked and denied the guilt her parents could feel, "I'm not going to blame dad or mum, because they didn't do it on purpose" [patient, 10-14 years].

Parents feared the discovery of a familial risk (5/13), not understanding the results and their implications (2/14) and feeling some guilt (2/14) if the genetic result was positive. Two expressed concerns, about the future uses of their sample.

b. Reactions to the genetic testing outcome

In the second interview, children and parents described different emotions and reactions to the result announcement. Some children distanced themselves from the results (5/10) because they did not affect their daily life, unlike cancer. Three expressed relief when the results validated the therapeutic strategy, confirmed that the disease would not be transmitted to their future children, or confirmed the links of filiation. Two expressed disappointment or ambivalent feelings because the results did not elucidate the cancer aetiology.

Most parents experienced relief (9/11) because: the results validated the therapy or allowed a therapeutic adjustment (5/11), there was no family risk (3/11). Five parents were disappointed because: the results did not provide an aetiological explanation (4/11), lacked details and explanations (3/11) unlike their initial expectations, and did not allow treatment adjustment. Others expressed uncertainty (3/11), distance (3/11), or surprise (2/11) at the results. Two parents reported ambivalence between the non-hereditary transmission and the lack of an etiological explanation.

For some children and parents, genetic testing led to updating their personal history, the nature of the family ties, and the search of a disease explanation, *"I think that it is really the genetic test that has renewed um... the question... of the origin of the disease"* [patient, 15-25 years]. Some participants started to rethink about their initial cancer theories, especially because the negative test result left a void. After the genetic result consultation, three parents (3/11) and one child (1/10) remembered the cancer diagnosis announcement and compared their meaning and intensity. For one patient and her mother, the results gave a sense of possible empowerment, with cancer prevention measures to be put in place.

7. Perception of incidental findings

The question "Did you expect other possible outcomes?" was not often asked, particularly to parents/children with little knowledge about genetic testing. The concept of incidental findings was understood by one child (included in a research protocol), and by ten parents. For example, one mother (trio-based exome sequencing) heard that other discoveries were possible (predisposition to other cancers or diseases), but that such results would be given only if prevention was possible, which was acceptable for her. Three parents theoretically imagined the existence of incidental findings but did not relate them to their child. Six parents considered the discovery of incidental findings, which they called "predisposition" to other pathologies, for their child and/or the rest of the family. According to the information at our

disposal, 14 children (n=7 germline testing and n=7 mixed analysis) could have been concerned by a genomic technology with potential incidental data.

Participants expressed the desire to know about incidental findings to be better prepared, but also their fear. One mother said: *"Well, my fear is that, through the illness she already had, more serious things will be discovered behind it".* After the genetic test result announcement, two parents expressed relief at the lack of incidental findings and one of them questioned the limit of his initial desire to know, *"Although I said last time: we want to know... Yeah, up to a certain point, maybe".*

DISCUSSION

This study identified some subjective representations and perceptions of children with cancer and their parents before and after genetic testing. The main emerging issues were the diversity of feelings, of understanding and consenting, their apprehensions and perceptions of the results, and finally their ambivalent attitude towards incidental findings.

Children were more concerned about the risk of cancer relapse and transmission to their offspring, and lack of answer on their cancer aetiology. Conversely, parents feared the family risk. Children and parents talked about the possible feeling of guilt of the parents about family transmission. Healthcare teams should consider these representations because they influence the families' listening and psychological availability (18, 19).

The perception of consenting was sometimes blurred. Some families expressed the fact that they did not feel they had had the choice of whether to undergo genetic testing, but that they trusted the health professionals in any case. This raises questions about the genetic testing consent validity, which is required by the French law (article 16 of the French Civil Code). Consent may have different value, such a symbolic one, and may be expected as a process for patients and their parents. We previously proposed that the delivery of results could entail a renewed consent to preserve the right not to know, but also to change one's mind. Discussions at a distance from the genetic proposal and urgent care, or even at the time of the child's transition to adulthood, also should be considered (19, 20). Therefore, it might be important to create spaces to talk again about what the family has agreed to and its implications during cancer treatment, remission, at the child's age of majority, and even after the child's death.

The understanding of the genetic proposition varied among parents and children and according to the context, raising questions on how to better deliver information. The traditional onco-genetic consultation increases understanding (16, 21, 22). For genomic proposition, other strategies could be proposed such as the two-visit consent model (23) or a systematic consultation with a genetic counsellor at the cancer treatment initiation (24). For example, the construction of the family tree allows a first representation and understanding of the personal and family issues linked to a future genetic test. The timeframe of information transmission also could be improved. One parent suggested to give a document summarizing the ongoing genetic testing process. Children's understanding was much poorer, and not only because of their age. This suggests that information for children should be adapted to what they

can and wish to hear, in a dynamic interchange, and with suitable supports (20, 25). As psychological unavailability and forgetfulness could be protective mechanisms in children with cancer and their parents, it may be necessary to give professionals the means to identify them. A psychologist could be included in the team to identify the patients' psychological readiness and to adapt the genomic pathway. Psychologists trained in genetics also can play an essential role in supporting families by discussing with them their representations, expectations, and emotions before and after the results (21, 22).

Some emotions and reactions to the result announcement were similar in children and parents: relief, disappointment (due to the lack of aetiological explanation), distancing, low emotional distress, ambivalence. Conversely, others were specific to parents, such as uncertainty and surprise. The low distress level associated with the results could be linked to the cancer experience that overshadowed all other fears, as previously reported (3, 25). These differences between children and parents were previously described (3, 26) and highlight different expectations (altruism is more emphasized by adolescents, while hope for a cure is stronger among parents).

Lastly, it was often difficult to discuss about incidental findings because of the interviewees' limited understanding of genetics. Parents with a relatively accurate understanding said that they wanted to know but were also afraid (3). A recent study highlighted the parents' strong expectations regarding updating the genomic results for their children when new information becomes available, but not after the child's death for some of them (27).

Study limitations

As the study population was heterogeneous in terms of disease, treatment, prognosis and genomic test type (somatic or constitutional), the psychological issues experienced by children and parents were different. Moreover, professionals involved were not the same in the different teams, with or without geneticist, genetic counsellor, or psychologist. We can consider that we have reached data saturation for most of the topics covered. However, we have not yet reached this criterion for some of them, particularly those relating to children's perspectives.

Nevertheless, the qualitative approach enriched the understanding of the various and complex situations in real-life clinical situations. In conclusion, the interviewed families expressed varying levels of understanding and different apprehensions concerning genetic testing. Some families would have liked to have more time to think about the test meaning or at a distance from the acute phase. Therefore, it should be important to develop an extended time and step-by-step approach to explain genetic or genomic testing during dedicated multidisciplinary consultations or during the paediatric oncologic consultations with other healthcare professionals (genetic counsellor, psychologist).

The themes identified by the qualitative analysis will allow us to design specific questionnaires to be tested in larger populations in the quantitative study of the GeneInfoKid project. This will provide more information to understand the families' expectations and needs, particularly on incidental findings, and to promote shared decision-making by healthcare professionals, parents, and children.

Declarations

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

ACKNOWLEDGEMENTS

We are deeply grateful to the parents and their children who agreed to take part in this study. We thank Elisabetta Andermarcher for translation and improvement of the manuscripts.

AUTHORS CONTRIBUTIONS

SDM elaborated the GeneInfoKid project and funding application. KLL directed the Gene-InfoKid psychological sub-study. SDM, FB, SJ, ERS, IC, LB, HC, APGR, LH as members of the GeneInfokid scientific committee participated in the discussion concerning the methodology (design and adaptation). KLL, APGR and LH prepared the interview guides. LH prepared the project submission to the ethical committee. FB, LB, HC, AP, FS, LGR, BC, MS participated actively in the clinical field study. MDM realized the interviews, their transcription, pseudonymization and analysed the first round of data with KLL. CF gave methodological support to the thematic analysis of the interviews. MDM, KLL and SDM discussed the final thematic analysis. The first version of the paper was written by MDM, reviewed actively by SDM and KLL, before reviewing by all authors.

Authors confirm that they had full access to all the data in the study and take responsibility for the data integrity and the accuracy of the data analysis. All authors gave their final approval to this version to be published and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

COMPLIANCE WITH ETHICAL STANDARTS

This study was reviewed and approved by the Human Research Ethics Committee of the Société française de pédiatrie (N° CER_SFP_2019_104_2, 2019/09/19).

CONFLICT OF INTEREST

The authors declare that they have no conflict of interest.

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Tables

Table 1. Characteristics of persons interviewed and timing of the interviews

Variable	Children (n=19)	Parents (n=18)
Gender		
male	8	5
female	11	13
Timing of the interview with regard to genetic testing		
GeneInfoKid interview: before the genetic test results	14	13
GeneInfoKid interview: after the genetic test results	10	11

Table 2. Major themes and subthemes

Themes	Children	Parents		
Before the genetic	Genetic pathway: genetic testing temporality and experience. Anticipation of the results: expectations, fears, perception of incidental findings (IF). Experience of waiting for the results. Place of the child.			
results	Expectations:	Expectations:		
	Aetiological response	Aetiological response		
	To be an active participant, altruism Possible prevention	Targeted therapy		
		Possible prevention		
		Be active, altruistic		
	Do not know what to expect	Do not know what to expect		
	Characterization of the disease	Ambivalent expectation		
		Characterization of the disease		
	Dating the existence of the disease			
		Fears:		
	Fears: Risk of relapse Risk of transmission	Family risk		
		New risk (IF)		
		Imaginary representations		
		Misunderstanding of the result		
		Guilt if positive result		
	result			
	Parental guilt if positive	Place of incidental findings: perception by 6 parents, between desire to know and concern		
	Place of incidental findings: lack of knowledge			
After the genetic results	Genetic consultation: experience of waiting for the results, the child's place, understanding and psychological availability, reactions after the results, effects of the results. Additional ideas.			
Other common themes	Context: announcement of the cancer, psychological and physical effects of the cancer, adjustment of the daily life, reinforced family functioning, reactivated previous vulnerability, parent-child relationship, previous experience of cancer and genetic testing. Healthcare team: functions and nature of the relationship.			

Table 4. Patients' description and genetic or genomic testing context

Variable	Children (n=19)
Mean age	14.4 years
10-14 years	10
15-18 years	7
19-25 years	2
Haematological tumour	7
Solid tumour	14
Somatic test	5
Germline test	9 (4 in context research)
Combined analysis	7
Genetic testing: at treatment start	12
Genetic testing: along treatment or at treatment end	6
Genetic testing: at relapse	3

Figures



Figure 1

Interviews presentation

*Deterioration of the child's condition or child at the end of life or inclusion terminated before the result is delivered

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

• Table3.ThemesandquotationsenGB.xlsx